

FORM CRS FOR DUAL REGISTRANTS

VECTORGLOBAL WMG INC. AND VECTORGLOBAL IAG INC.

CLIENT RELATIONSHIP SUMMARY

June 11, 2020

INTRODUCTION

VECTORGLOBAL WMG INC. AND VECTORGLOBAL IAG INC. (HEREIN REFERRED TO JOINTLY AS “VECTORGLOBAL”) ARE REGISTERED WITH THE SECURITIES AND EXCHANGE COMMISSION (SEC) AS BOTH A BROKER-DEALER AND AN INVESTMENT ADVISOR.

MEMBER OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA) AND THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

BROKERAGE AND INVESTMENT ADVISORY SERVICES AND FEES DIFFER, AND IT IS IMPORTANT FOR YOU TO UNDERSTAND THESE DIFFERENCES. FREE AND SIMPLE TOOLS ARE AVAILABLE TO RESEARCH FIRMS AND FINANCIAL PROFESSIONALS AT WWW.INVESTOR.GOV/CRS WHICH ALSO PROVIDES EDUCATIONAL MATERIALS ABOUT BROKER-DEALERS, INVESTMENT ADVISORS, AND INVESTING.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

OUR ACCOUNTS AND SERVICES FALL INTO TWO CATEGORIES

BROKER DEALER SERVICES	INVESTMENT ADVISER SERVICES
BROKERAGE ACCOUNTS	ADVISORY ACCOUNTS
TYPES OF RELATIONSHIPS AND SERVICES	TYPES OF RELATIONSHIPS AND SERVICES
<p>IF YOU OPEN A BROKERAGE ACCOUNT, YOU WILL PAY US A TRANSACTION-BASED FEE, GENERALLY REFERRED TO AS A COMMISSION, EVERY TIME YOU BUY OR SELL AN INVESTMENT. YOU MAY SELECT INVESTMENTS OR WE MAY RECOMMEND INVESTMENTS FOR YOUR ACCOUNT, BUT THE ULTIMATE DECISION FOR YOUR INVESTMENT STRATEGY AND THE PURCHASE OR SALE OF INVESTMENTS WILL BE YOURS.</p> <p>WE CAN OFFER YOU ADDITIONAL SERVICES TO ASSIST YOU IN DEVELOPING AND EXECUTING YOUR INVESTMENT STRATEGY AND TO MONITOR THE PERFORMANCE OF YOUR ACCOUNT, BUT YOU MIGHT PAY MORE. WE WILL DELIVER</p>	<p>IF YOU OPEN AN ADVISORY ACCOUNT, YOU WILL PAY AN ONGOING ASSET-BASED FEE FOR YOUR SERVICES, PLUS FEES PER EACH TRANSACTION. FOR ADVISORY ACCOUNTS, WE WILL OFFER YOU ADVICE ON A REGULAR BASIS. WE WILL DISCUSS YOUR INVESTMENT GOALS, AND REGULARLY MONITOR YOUR ACCOUNT. WE WILL CONTACT YOU BY PHONE OR EMAIL AND DISCUSS YOUR PORTFOLIO. WE OFFER DISCRETIONARY ACCOUNTS AND HELD AWAY ACCOUNT SERVICES, WHICH ALLOW US TO BUY AND SELL INVESTMENTS IN YOUR ACCOUNT WITHOUT ASKING YOU IN ADVANCE, AS WELL AS NON-DISCRETIONARY ACCOUNTS, FOR WHICH WE GIVE YOU ADVICE BUT YOU DECIDE WHICH</p>

<p>ACCOUNT STATEMENTS TO YOU MONTHLY OR QUARTERLY IN PAPER FORM OR ELECTRONICALLY.</p> <p>WE OFFER A WIDE SELECTION OF INVESTMENTS WHICH CAN BE FOUND ON OUR WEBSITE: WWW.VECTORGLOBALWVG.COM</p> <p>OTHER FIRMS COULD OFFER A BROADER RANGE OF CHOICES, SOME OF WHICH MIGHT HAVE LOWER COSTS.</p>	<p>INVESTMENTS TO BUY AND SELL. OUR INVESTMENT ADVICE WILL COVER VARIOUS SELECTIONS OF INVESTMENTS DEPENDING ON THE CHOICES YOU MAKE, SOME OF WHICH MIGHT HAVE HIGHER OR LOWER COSTS. YOU CAN FIND THESE SELECTIONS ON OUR WEBSITE: WWW.VECTORGLOBALIAG.COM</p>
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FEES AND COST

FEES AND COSTS AFFECT THE VALUE OF YOUR ACCOUNT OVER TIME. PLEASE ASK VECTORGLOBAL OR YOUR REPRESENTATIVE TO GIVE YOU PERSONALIZED INFORMATION ON THE FEES AND COSTS THAT YOU WILL PAY.

YOU WILL PAY FEES AND COSTS WHETHER YOU MAKE OR LOSE MONEY ON YOUR INVESTMENTS. FEES AND COSTS WILL REDUCE ANY AMOUNT OF MONEY YOU MAKE ON YOUR INVESTMENTS OVER TIME. PLEASE MAKE SURE YOU UNDERSTAND WHAT FEES AND COSTS YOU ARE PAYING.

BROKERAGE SERVICES	ADVISORY SERVICES
<p>BROKERAGE ACCOUNTS ARE CHARGED TRANSACTION-BASED FEES. YOU WILL PAY US A FEE EVERY TIME YOU BUY OR SELL AN INVESTMENT. THIS FEE, COMMONLY REFERRED TO AS A COMMISSION, IS BASED ON THE SPECIFIC TRANSACTION AND NOT THE VALUE OF YOUR ACCOUNT. WITH STOCKS OR EXCHANGE TRADED FUNDS (ETF), THIS FEE IS A SEPARATE AMOUNT LISTED ON THE TRADE CONFIRMATION. WITH OTHER INVESTMENTS SUCH AS BONDS, THIS FEE MIGHT BE PART OF THE PRICE YOU PAY FOR THE INVESTMENT (CALLED A "MARK-UP OR "MARK-DOWN"). WITH MUTUAL FUNDS, THIS FEE (TYPICALLY CALLED A LOAD) REDUCES THE VALUE OF YOUR INVESTMENT.</p> <p>SOME INVESTMENTS (SUCH AS MUTUAL FUNDS) IMPOSE ADDITIONAL FEES THAT WILL REDUCE THE VALUE OF YOUR INVESTMENT OVER TIME.</p> <p>A LIST OF OUR FEES CAN BE FOUND ON OUR WEBSITE: WWW.VECTORGLOBALWVG.COM</p>	<p>WE MAY CHARGE ASSET-BASED FEES AND PERFORMANCE FEES ON ADVISORY ACCOUNTS. THE MINIMUM CHARGE ON ADVISORY ACCOUNTS IS 1% - 2.5% WITH A \$500,000 MINIMUM INVESTMENT. THE MINIMUM INVESTMENT FOR ACCOUNTS INVESTING IN MODEL PORTFOLIOS IS \$100,000. FEES MAY VARY AND ARE NEGOTIABLE. YOU WILL PAY AN ON-GOING FEE EACH QUARTER BASED ON THE VALUE OF THE CASH AND INVESTMENTS IN YOUR ADVISORY ACCOUNT.</p> <p>THE AMOUNT PAID TO VECTORGLOBAL AND YOUR REPRESENTATIVE GENERALLY DOES NOT VARY BASED ON THE TYPE OF INVESTMENTS WE SELECT ON YOUR BEHALF. THE ASSET-BASED FEES DEDUCTED FROM YOUR ACCOUNT REDUCE THE VALUE OF THE ACCOUNT. FOR SOME ADVISORY ACCOUNTS, CALLED WRAP FEE PROGRAMS (NON-DISCRETIONARY), THE ASSET BASED FEE WILL INCLUDE MOST TRANSACTION COSTS AND CUSTODY SERVICES, AND AS A RESULT THESE FEES ARE TYPICALLY HIGHER THAN NON-WRAP ADVISORY FEES. SOME INVESTMENTS (SUCH AS MUTUAL FUNDS) IMPOSE ADDITIONAL FEES TO</p>

WE REQUIRE A MINIMUM INVESTMENT OF \$100,000 TO OPEN AN ACCOUNT, HOWEVER THIS MINIMUM CAN BE WAIVED IN CERTAIN CIRCUMSTANCES. THE AMOUNT YOU PAY WILL DEPEND ON HOW MUCH YOU BUY OR SELL, WHAT TYPE OF INVESTMENT, AND WHAT TYPE OF ACCOUNT YOU HAVE WITH US. THE MORE TRANSACTIONS IN YOUR ACCOUNT, THE MORE FEES WE CHARGE YOU. WE THEREFORE HAVE AN INCENTIVE TO ENCOURAGE YOU TO ENGAGE IN TRANSACTIONS. AS INDICATED ON OUR WEBSITE, YOUR ACCOUNT MAY INCUR OTHER COSTS, SUCH AS ACCOUNT MAINTENANCE FEES OR INACTIVITY FEES.

FROM A COST PERSPECTIVE, YOU MAY PREFER A TRANSACTION-BASED FEE IF YOU DO NOT TRADE OFTEN OR IF YOU PLAN TO BUY AND HOLD INVESTMENTS FOR LONG PERIODS OF TIME.

TO LEARN MORE, PLEASE VISIT OUR WEBSITE WWW.VECTORGLOBALWMG.COM UNDER CLIENT FEES AND CHARGES.

SELL THE INVESTMENT. OUR FEES VARY AND ARE NEGOTIABLE. THE AMOUNT YOU PAY WILL DEPEND ON HOW MUCH YOU BUY OR SELL, WHAT TYPE OF INVESTMENT, AND WHAT TYPE OF ACCOUNT YOU HAVE WITH US. FOR ALL ACCOUNTS, YOU WILL PAY A TRANSACTION FEE WHEN WE BUY AND SELL AN INVESTMENT FOR YOU. YOU WILL ALSO POSSIBLY PAY FEES TO THE CUSTODIAN THAT WILL HOLD YOUR ASSETS (CALLED "CUSTODY"). THE MORE ASSETS YOU HAVE IN THE ADVISORY ACCOUNT, INCLUDING CASH, THE MORE YOU WILL PAY US. WE THEREFORE HAVE AN INCENTIVE TO INCREASE THE ASSETS IN YOUR ACCOUNT IN ORDER TO INCREASE OUR FEES. YOU PAY OUR FEE QUARTERLY EVEN IF YOU DO NOT BUY OR SELL. PAYING FOR A WRAP FEE PROGRAM COULD COST MORE THAN SEPARATELY PAYING FOR ADVICE AND FOR TRANSACTIONS IF THERE ARE INFREQUENT TRADES IN YOUR ACCOUNT. AN ASSET-BASED FEE MAY COST MORE THAN A TRANSACTION-BASED FEE, BUT YOU MAY PREFER AN ASSET-BASED FEE IF YOU WANT CONTINUING ADVICE OR WANT SOMEONE TO MAKE INVESTMENT DECISIONS FOR YOU. YOU MAY PREFER A WRAP FEE PROGRAM IF YOU PREFER THE CERTAINTY OF QUARTERLY FEES REGARDLESS OF THE NUMBER OF TRANSACTIONS YOU HAVE.

FOR ADDITIONAL INFORMATION, PLEASE REFERENCE FORM ADV PART 1A ITEM 5.

ADDITIONAL INFORMATION AND CONFLICTS – VECTORGLOBAL ENCOURAGES YOU TO SEEK OUT

ADDITIONAL INFORMATION

VECTORGLOBAL HAS NO ADDITIONAL CONFLICTS OF INTEREST AS IT DOES NOT HOLD INVENTORY TO SELL TO CLIENTS.

THERE ARE LEGAL AND DISCIPLINARY EVENTS. VISIT WWW.INVESTOR.GOV FOR A FREE AND SIMPLE SEARCH TOOL TO RESEARCH OUR FIRM AND OUR FINANCIAL PROFESSIONALS.

FOR ADDITIONAL INFORMATION ABOUT OUR BROKERS AND SERVICES YOU CAN ALSO VISIT [HTTPS://BROKERCHECK.FINRA.ORG/](https://BROKERCHECK.FINRA.ORG/), OUR WEBSITE WWW.VECTORGLOBALWMG.COM UNDER CLIENT LOGIN, OR YOUR ACCOUNT AGREEMENTS. FOR ADDITIONAL INFORMATION ON ADVISORY SERVICES, SEE OUR FORM ADV BROCHURE ON [HTTPS://ADVISERINFO.SEC.GOV/](https://ADVISERINFO.SEC.GOV/), ON WWW.INVESTOR.GOV, OR ON OUR WEBSITE WWW.VECTORGLOBALIAG.COM.

TO REPORT A PROBLEM TO THE SEC, VISIT WWW.INVESTOR.GOV, AS ABOVE, OR CALL THE SEC'S TOLL-FREE INVESTOR ASSISTANCE LINE AT 800-732-0330. TO REPORT A PROBLEM TO FINRA VISIT

WWW.FINRA.ORG. IF YOU HAVE A PROBLEM WITH YOUR INVESTMENTS, ACCOUNTS, OR FINANCIAL PROFESSIONAL YOU CAN CONTACT US IN WRITING AT VECTORGLOBAL 1001 BRICKELL BAY DR. SUITE 1900 MIAMI, FL. 33131 OR CALL 305-350-3350.

WHEN WE PROVIDE YOU WITH A RECOMMENDATION AS YOUR BROKER-DEALER OR ACT AS YOUR INVESTMENT ADVISOR, WE MUST ACT IN YOUR BEST INTEREST AND NOT PUT OUR INTERESTS AHEAD OF YOURS. AT THE SAME TIME, THE WAY WE MAKE MONEY CREATES SOME CONFLICTS WITH YOUR INTERESTS. YOU SHOULD UNDERSTAND AND ASK US ABOUT THESE CONFLICTS BECAUSE THEY CAN AFFECT THE RECOMMENDATIONS AND INVESTMENT ADVICE WE PROVIDE YOU. HERE ARE SOME EXAMPLES TO HELP YOU UNDERSTAND WHAT THAT MEANS.

KEY QUESTIONS TO ASK. – ASK OUR FINANCIAL PROFESSIONALS THESE KEY QUESTIONS ABOUT OUR INVESTMENT SERVICES AND ACCOUNTS.

1. GIVEN MY FINANCIAL SITUATION, WHY SHOULD I CHOOSE AN ADVISORY ACCOUNT? WHY SHOULD I CHOOSE A BROKERAGE ACCOUNT?
2. DO THE MATH FOR ME. HOW MUCH WOULD I EXPECT TO PAY PER YEAR FOR AN ADVISORY ACCOUNT? HOW MUCH FOR A TYPICAL BROKERAGE ACCOUNT? WHAT WOULD MAKE THOSE FEES MORE OR LESS. WHAT SERVICES WOULD I RECEIVE FOR THOSE FEES?
3. WHAT ADDITIONAL COSTS SHOULD I EXPECT IN CONNECTION WITH MY ACCOUNT?
4. TELL ME HOW YOU AND YOUR FIRM MAKE MONEY IN CONNECTION WITH MY ACCOUNT. DO YOU OR YOUR FIRM RECEIVE PAYMENTS FROM ANYONE BESIDES ME IN CONNECTION WITH MY INVESTMENTS?
5. WHAT ARE THE MOST COMMON CONFLICTS OF INTEREST IN YOUR ADVISORY AND BROKERAGE ACCOUNTS? EXPLAIN HOW YOU WILL ADDRESS THOSE CONFLICTS WHEN PROVIDING SERVICES TO MY ACCOUNT.
6. HOW WILL YOU CHOOSE INVESTMENTS FOR MY ACCOUNT?
7. HOW OFTEN WILL YOU MONITOR MY ACCOUNT'S PERFORMANCE AND OFFER INVESTMENT ADVICE?
8. DO YOU OR YOUR FIRM HAVE A DISCIPLINARY HISTORY? IF SO, FOR WHAT TYPE OF CONDUCT?
9. WHAT IS YOUR RELEVANT EXPERIENCE, INCLUDING YOUR LICENSES, EDUCATION, AND OTHER QUALIFICATIONS?
10. WHO IS THE PRIMARY CONTACT PERSON FOR MY ACCOUNT, AND IS HE OR SHE A REPRESENTATIVE OF YOUR INVESTMENT ADVISOR OR BROKER-DEALER? WHAT CAN YOU TELL ME ABOUT HIS OR HER LEGAL OBLIGATIONS TO ME? IF I HAVE CONCERNS ABOUT HOW THIS PERSON IS TREATING ME, WHO CAN I TALK TO?